Approaching the bad and avoiding the good:

Lateral prefrontal cortical asymmetry distinguishes between action and valence

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Abstract

Goal pursuit in humans sometimes involves approaching unpleasant and avoiding pleasant stimuli, such as when a dieter chooses to eat vegetables (though he does not like them) instead of doughnuts (which he greatly prefers). Previous neuroscience investigations have established a left-right prefrontal asymmetry between approaching pleasant and avoiding unpleasant stimuli, but these investigations typically do not untangle the roles of action motivation (approach vs. avoidance) and stimulus valence (pleasant vs. unpleasant) in this asymmetry. Additionally, studies on asymmetry have been conducted almost exclusively using electroencephalography and have been difficult to replicate using functional magnetic resonance imaging (fMRI). The present fMRI study uses a novel goal pursuit task that separates action motivation from stimulus valence and a region-of-interest analysis approach to address these limitations. Results suggest that prefrontal asymmetry is associated with action motivation and not with stimulus valence. Specifically, there was increased left (vs. right) activation in the dorsolateral prefrontal cortex during approach (vs. avoidance) actions regardless of the stimulus valence, but no such effect was observed for pleasant compared to unpleasant stimuli. This asymmetry effect during approach-avoidance action motivations occurred in the dorsolateral but not orbitofrontal aspects of the prefrontal cortex. Also, individual differences in approach-avoidance motivation moderated the effect such that increasing trait approach motivation was associated with greater left-sided asymmetry during approach actions (regardless of the stimulus valence). Together, these results support the notion that prefrontal asymmetry is associated with action motivation regardless of stimulus valence and as such might be linked with goal pursuit processes more broadly.
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Goal-relevant stimuli in the environment typically can be categorized into goal-consistent stimuli that should be approached or goal-inconsistent stimuli that should be avoided. Once this categorization takes place, the impetus to move toward (or away from) a stimulus, as well as the action itself, is known as approach (or avoidance) motivation. This triage process is relatively straightforward for most animals: move towards positively valenced stimuli and move away from negatively valenced stimuli. For humans, goal pursuit is not always as easy. Although we share with other animals the same general tendencies to approach positive and avoid negative stimuli, one of the defining qualities of human goal pursuit is that we have the capacity to approach negative and avoid positive stimuli if that behavior serves our ultimate goal. For instance, during dieting someone with a sweet tooth who generally dislikes vegetables might nonetheless have a motive to avoid candy and instead snack on broccoli. Previous work has examined the prefrontal cortical asymmetry associated with approaching positive and avoiding negative stimuli (e.g. Sutton & Davidson, 1997). However, with the exception of studies on anger (discussed below), most of these studies have not shed light on whether this asymmetry is driven by stimulus valence (i.e. positive vs. negative) or action motivation (approach vs. avoidance). The present study separates the roles of stimulus valence and action motivation in hemispheric asymmetry for the first time using fMRI.

Neuroscience investigations that did not separate action from valence have repeatedly observed left-right prefrontal cortical asymmetry between approaching pleasant and avoiding unpleasant stimuli. Research conducted predominantly using
electroencephalography (EEG) has found that approach-pleasant is associated with relatively greater activity in the left lateral prefrontal cortex (relative to the right), and avoid-unpleasant is associated with relatively greater activity in the right lateral prefrontal cortex (compared to left) (Davidson, Ekman, Saron, Senulis, & Friesen, 1990; Sutton & Davidson, 1997). Lesion studies in rats (Robinson, 1979) and humans (Starkstein et al., 1991) have also supported the link between left prefrontal cortex and approach-pleasant behaviors. Other EEG studies replicate this finding but also do not distinguish between stimulus valence and action motivation. For example, lateral frontal asymmetry (measured by EEG) has been observed in response to smelling a pleasant vanilla odor compared to a neutral odor (Kline, Blackhart, Woodward, Williams, & Schwartz, 2000), and in two studies comparing monetary rewards compared to losses (Miller & Tomarken, 2001; Sobotka, Davidson, & Senulis, 1992). In both of these examples, the valence of the stimulus (pleasant/unpleasant) covaried completely with the behavioral tendency (approach/avoid).

Several fMRI studies have replicated the basic finding of hemispheric asymmetry being associated with approach-pleasant versus avoid-unpleasant, but as in the EEG literature these studies did not disentangle action from valence. In the two fMRI studies that explicitly examined asymmetry by directly comparing activation in the right and left hemispheres, participants were either passively shown pleasant and unpleasant words (Herrington, Mohanty, Koven, Fisher, Stewart, Banich, et al., 2005) or pictures (Canli, Desmond, Zhao, Glover, & Gabrieli, 1998). These studies suggest that asymmetry during pleasant stimuli is associated with increases in left dorsolateral (Herrington et al., 2005) or throughout the left prefrontal cortex (Canli et al., 2007), and one of the two
found unpleasant stimuli to be associated with activation in the right prefrontal cortex (Canli et al., 2007). Another fMRI study examined the relation between hemispheric asymmetry and promotion/prevention regulatory focus (Eddington, Dolcos, Cabeza, Krishnan, & Strauman, 2007), a construct distinct from approach/avoidance but nonetheless related (Cunningham, Raye, & Johnson, 2005; Higgins, 1997). The authors found increases in left orbitofrontal PFC when participants were primed with positively valenced adjectives associated with their “ideal self” (promotion regulatory focus) compared to those associated with their “ought self” (prevention regulatory focus). Together, these findings are broadly consistent with the EEG literature but still cannot identify whether the asymmetry is driven by action tendency or stimulus valence, because in each study pleasant and unpleasant stimuli were likely to have activated approach and avoidance tendencies, respectively.

One possible way to disentangle valence and action is to study an affective-motivational state in which the two are naturally in opposition—approach-pleasant or avoid-unpleasant. The emotion anger is believed to be one such state because it possesses both an unpleasant valence and an approach motive (Carver & Harmon-Jones, 2009; Harmon-Jones & Allen, 1998). Harmon-Jones and colleagues have capitalized on this fact and examined the effect of both state and trait anger on hemispheric asymmetry. Their studies have revealed increased left lateral prefrontal asymmetry in response to an anger induction such as an insult (Harmon-Jones, Abramson, Sigelman, Bohlig, Hogan, & Harmon-Jones, 2002; Harmon-Jones & Sigelman, 2001). Supporting this work, another study found that decreased memory for anger following an experimental reduction of activity in the left (but not right) lateral prefrontal cortex using repetitive
transcranial magnetic stimulation (rTMS) (Van Honk & Schutter, 2006). Together, these studies suggest that asymmetry is associated with action motivation rather than valence.

Though these studies provide one way to separate action and valence, the extent to which the results might generalize to goal pursuit is unclear. Approaching during anger is different than approaching an unpleasant stimulus during goal pursuit. In the context of a goal, individuals presumably engage in self-regulation in order to approach unpleasant stimuli that they would otherwise avoid (e.g. someone who wants to lose weight but doesn’t like to exercise might nonetheless go to the gym every day). In contrast, the tendency to approach during anger seems to be intrinsic to the experience of anger and doesn’t require overriding a natural tendency to avoid. Further, anger appears to be unique as a mental state that is negatively valenced and also approach-motivated, and there is no parallel affective state that is positively valenced and also avoidance-motivated. Thus it is important to determine if this is unique to anger or generalizes to action versus valence more broadly.

A second caveat to the broad conclusion that lateral prefrontal asymmetry is associated with approach-avoidance motivation is that, though several studies have replicated the link between approach motivation and increased left prefrontal cortical activation, many studies fail to replicate the finding that avoidance motivation is associated with increased right prefrontal activation (Amodio, Master, Yee, & Taylor, 2008; Coan, Allen, & Harmon-Jones, 2001; Henriques & Davidson, 2000; Hewig, Hagemann, Seifert, Naumann, & Bartussek, 2006; Jackson, Mueller, Dolski, Dalton, Nitschke, Urry, et al., 2003; Kline et al., 2000; Pizzagalli, Sherwood, Henriques, & Davidson, 2005). Researchers have speculated that this inconsistency might arise
because of the theoretical complexity of the avoidance construct (Coan & Allen, 2004), or because approach is not necessarily the opposite of avoidance (Amodio et al., 2008) and instead relies upon distinct psychological processes that might not be elicited by the experimental paradigms used to investigate frontal asymmetry. Another explanation is that EEG is not ideally suited to measuring differences in activation between hemispheres because it relies on comparisons in voltage between two sites that are often non-independent (Allen, Coan, & Nazarian, 2004). If this were the case, convergent evidence from a different neuroimaging modality such as functional magnetic resonance imaging (fMRI) would help to clarify the inconsistent findings regarding right lateral prefrontal asymmetry and avoidance.

The key limitation in the current literature on asymmetry is the confounding of action and valence. It is difficult to disentangle action and valence because they are most often confounded in the real world—people typically do approach pleasant things and avoid unpleasant things, and it is typically easy to do so—but they are not always confounded. During goal pursuit, action motivation and stimulus valence are sometimes in opposition. Indeed, one reason why goals are challenging and we often fail at them is because success frequently depends on actions that are in opposition to our natural response to a stimulus, such as when a dieter approaches healthy (but unpleasant) foods or a smoker avoids a cigarette. For this reason, a task that models this type of goal pursuit situation (i.e. approaching unpleasant and avoiding pleasant stimuli) is ideally suited to disentangle action from valence.

The present study employs a novel task that models goal pursuit in order to cross action motivation (approach/avoidance) with stimulus valence (pleasant/unpleasant) and
directly compare the role of these two factors in prefrontal cortical asymmetry. In the
Nochmani paradigm (Berkman, Gable, & Lieberman, 2009), participants first read a fake
National Geographic-style article about a newly-discovered tribe of people, the
Nochmani. From the article, participants learn that the Nochmani are similar to
Westerners in their enjoyment of sweets and their distaste for fungi, but are dissimilar in
their fondness for eating insects and disgust when eating meats. In the subsequent
response-time task, Western participants respond whether to “eat” or “not eat” various
foods from the perspective of the Nochmani (Figure 1). In this way, the action to “eat”
(approach) or “not eat” (avoidance) can be crossed with the valence of the delicious
(pleasant) or disgusting (unpleasant) food stimuli into an orthogonal design.

We used fMRI to measure neural responses to trials in each of these conditions.
We analyzed the data by generating a priori regions of interest (ROIs) in the left and
right dorsolateral and orbitofrontal cortices and directly comparing left to right activation
in each of these regions during the approach, avoidance, pleasant, and unpleasant
conditions relative to baseline. Activation in these ROIs were also correlated with a trait
measure of approach and avoidance motivation. Based on the neuroimaging literature
discussed above, we expected asymmetry to be associated with action motivation rather
than stimulus valence. There have been few attempts to identify the source of the
asymmetry within the prefrontal cortex. The only EEG study to use a formal localization
procedure identified three foci in the left dorsolateral prefrontal cortex that were linked to
reward preference (Pizzagalli et al., 2005), and several other EEG studies have identified
dorsolateral prefrontal cortex more broadly using informal localization procedures (e.g.
scalp topography) (Amodio et al., 2008; Harmon-Jones & Allen, 1998; Sutton &
Davidson, 1997). The fMRI studies identified either dorsolateral (Herrington et al., 2005) or orbitofrontal (Eddington et al., 2007) prefrontal cortex, though the Eddington et al. (2007) study used a manipulation of promotion/prevention focus instead of approach/avoidance. Based on these studies, we predicted that the asymmetry would be localized only to the dorsolateral prefrontal cortex, and included the orbitofrontal cortex as a comparison region. We also predicted that trait approach-avoidance motivation would moderate asymmetry such that higher levels of approach and avoidance would be associated with increased left- and right-sided asymmetry, respectively.

Method

Participants

Seventeen right-handed participants (nine male; ages 19-28, \( M = 23.4 \pm 2.7 \)) were recruited from the UCLA community and paid $25 for their participation. The participants were pre-screened to be non-vegetarians. All participants provided written informed consent that was approved by the UCLA Office for Protection of Research Subjects.

Procedure

We generated an fMRI compatible version of a validated paradigm that was designed to separate action motivation and valence (Berkman et al., 2009). Before entering the scanner, participants read a realistic but fake article about the Nochmani (see http://berkman.bol.ucla.edu/Nochmani.pdf for download). Participants were told to read the article carefully because subsequently in the scanner they would be completing a memory task about the Nochmani. Although the subjects were led to believe that the task involved memory, success in the forthcoming task depended only on participants
remembering two unusual characteristics of the Nochmani—that they enjoyed eating insects, and were disgusted by eating meat. The Nochmani otherwise share Western tastes in food as they enjoy eating desserts and are disgusted by eating fungus-infested foods. Success rates on the present study and a previous study (Berkman et al., 2009) confirm that participants are easily able to retain this information for the duration of the task. After reading the article, participants completed a self-report measure of trait approach and avoidance motivation (described below).

Next, participants completed a computerized response time task during fMRI acquisition. They were shown a series of trials that each displayed a single picture of a dessert, meat, fungus, or insect. Participants were asked to respond as quickly as possible via key press whether Nochmani would “eat” or “not eat” the food. Trials were arranged into blocks that represented one of the four cells of the 2 (Action motivation: approach / avoid) x 2 (Valence: pleasant / unpleasant) design. Each block comprised 80% trials from one cell (e.g. approach-pleasant), and 20% “foil” trials from cells with an opposite action (i.e. avoid-pleasant or avoid-unpleasant). These minority foil trials serve to prevent participants from falling into a response-set on a given block (e.g. simply responding “eat” to every trial without paying attention to the content of the image). The duration of each trial was set to be sufficiently long (2 sec) so that participants had enough time to consider each trial—including the foil trials—and that these trials would not act as “oddballs” as in a speeded detection task. The four block types were evenly and randomly distributed throughout the experiment.

The key blocks for unconfounding action and valence are those in which the valence of the stimulus conflicts with the direction of the action required for a correct
response. Specifically, these trials occur when responding to images of insects (approach-unpleasant) and meat (avoid-pleasant).

Each trial lasted 2 seconds, and participants could respond at any point during that time. The images remained on the screen for the duration of the trial following a response. Trials occurred within 20 blocks of 10 trials each that began with 4 seconds of instructions per block and 12 seconds of resting fixation between blocks. This yielded a total of 200 trials spread across two six-minute functional runs.

Foam padding was placed around participants’ heads to reduce motion. Stimuli were presented on LCD goggles, and responses were recorded on a magnet-safe button box placed in the right hand.

Materials

The pictures of food used in the task belonged to one of four categories: fungi, desserts, meats, or insects. There were 40 pictures in each category for a total of 160 color pictures. The four categories were pre-rated to be equivalent on absolute ratings of valence (i.e. the fungi and insects are equally unpleasant, the desserts and meats were equally pleasant, and all four have equal absolute values on valence; Berkman et al., 2009). The images were standardized on brightness, contrast, and fixed at a resolution of 500 by 375 to maintain a 4-to-3 width-to-height ratio.

Trait behavioral activation and inhibition was measured using the Behavioral inhibition/Behavioral Activation Scales (BIS/BAS; Carver & White, 1994). The BAS scale has three subscales: the BAS-Drive subscale measures persistent pursuit of goals (e.g. “I go out of my way to get things I want”), $\alpha = .73$; the BAS-Fun Seeking subscale measures desire for new rewards (e.g. “I crave excitement and new sensations”), $\alpha = .78$;
and the BAS-Reward Responsiveness subscale relates to positive responses to reward (e.g. “When I get something I want, I feel excited and energized”), $\alpha = .68$. The reliability for the overall BAS scale was .84. The BIS scale is thought to be unidimensional, and taps sensitivity to negative events (e.g. “Criticism or scolding hurts me quite a bit”), $\alpha = .82$.

**fMRI data acquisition and analysis**

Data were acquired on a Siemens Allegra 3T scanner at the UCLA Ahmanson-Lovelace Brainmapping Center. High-resolution structural T2-weighted echo-planar images (spin-echo; TR = 5000 ms; TE = 33 ms; matrix size 128 x 128; 36 sagittal slices; FOV = 20 cm; 3 mm thick, skip 1 mm) were acquired coplanar with the functional scans. Two functional scans lasting 6 min each were acquired during the task (echo-planar T2*-weighted gradient-echo, TR = 2000 ms, TE = 25 ms, flip angle = 90°, matrix size 64 x 64, 34 axial slices, FOV = 20 cm; 3 mm thick, skip 1 mm). The imaging data were analyzed using SPM5 (Wellcome Department of Cognitive Neurology, Institute for Neurology, London, UK). Images from each participant were realigned to correct for head motion, normalized into the Montreal Neurological Institute standard stereotactic space, and smoothed with an 8 mm Gaussian kernel, full width at half maximum.

The design was modeled as a blocked 2 (Action: approach / avoidance) x 2 (Valence: pleasant / unpleasant) factorial design with eight 12s fixation-cross periods per run forming a baseline. Linear contrasts were computed to assess the difference in neural activity during each condition compared to baseline. For all analyses, individual participant contrasts were generated with fixed-effects models and then grouped into a random-effects model for greater generalizability.
A priori ROIs were created for the dorsolateral and orbitofrontal cortices, separately for left and right, using the Wake Forest University Pickatlas Tool (Maldjian, Laurienti, Kraft, & Burdette, 2003) based on the Automated Anatomical Labeling atlas (AAL; Tzourio-Mazoyer et al., 2002). The dorsolateral ROIs were a combination of AAL’s superior and middle frontal gyri, pars opercularis, and pars triangularis, all superior to and including the axial plane at MNI z=2 (Figure 2a). The orbitofrontal ROIs were a combination of AAL’s orbital subregions of the superior, middle, and inferior frontal gyri, all inferior to and including the axial plane at MNI z=0 (Figure 2b). All voxels within each of the four resulting ROIs were averaged to create a total of four values per participant per condition.

The primary research questions regarding hemispheric asymmetry were examined in the prefrontal ROIs. Following convention in the EEG literature (e.g. Harmon-Jones et al., 2002), an asymmetry score was calculated for each participant for the dorsolateral and orbitofrontal ROIs. This score was then entered as the dependent measure in a within-subjects factorial ANOVA. Further analyses examined whether trait-level approach and avoidance motivation moderated activation in the ROIs across task conditions. Because of the strong a priori hypotheses about hemispheric asymmetry, and because our dependent measure in the 2x2 ANOVA and regression analyses was a single asymmetry score for each of the two regions (instead of thousands of voxels), we used a traditional p-value of .05. All neuroimaging results are reported in Montreal Neurological Institute (MNI) coordinates.

Results

Behavioral responses
As in previous work, participants achieved a high rate of accuracy in all conditions ($M = 97.4\%$) and no participant was below 95% accuracy (Berkman et al., 2009). Because of this high rate of accuracy, all trials were included in the block-wise analysis.

Participants were significantly faster to approach ($M=753.6$ ms, $SD=25.0$ ms) than to avoid ($M=875.8$ ms, $SD=59.2$ ms; $t(16) = 5.31$, $p<.01$). There were no differences in response time between the pleasant ($M=802.1$ ms, $SD=43.7$ ms) and unpleasant ($M=826.3$ ms, $SD=127.8$ ms; $t(16) = 0.56$, $ns$) valence conditions. The action-by-valence interaction was not significant ($t(16) = 1.60$, $ns$). Because of the main effect of action, mean response time for each block was entered into the model as a covariate.

**Main effects of task condition**

The main dependent measures were the left-right asymmetry scores for the dorsolateral and orbitofrontal ROIs. These scores were computed for both of the ROIs for each of the four conditions relative to fixation baseline. For example, the dorsolateral asymmetry score in the approach-pleasant condition was computed as: (approach-pleasant R DLPFC − baseline) − (approach-pleasant L DLPFC − baseline). Once these scores were computed, 2×2 within-subjects ANOVAs were run on the asymmetry scores separately for each ROI.

A main effect of action and no main effect of valence would support the hypothesis that dorsolateral asymmetry is driven by action motivation and not by stimulus valence. In the dorsolateral ROIs, there was a main effect of action ($F_{1,16} = 7.07$, $p < .02$), no main effect of valence ($F_{1,16} = 0.59$, $ns$), and no interaction ($F_{1,16} = 0.24$, $ns$; Figure 3a). Specifically, there was greater relative left-sided asymmetry in the
dorsolateral ROI during approach ($M=0.075$, $SD=0.23$) than avoidance ($M=-0.025$, $SD=0.23$) but not during pleasant ($M=0.056$, $SD=0.34$) versus unpleasant ($M=0.005$, $SD=0.17$) stimuli. The null interaction suggests that the relative left-sided asymmetry during approach versus avoidance for the pleasant stimuli ($M=0.071$, $SD=0.35$) was not different from the asymmetry between approach and avoidance for unpleasant stimuli ($M=0.128$, $SD=0.24$).

Next, we tested the same hypotheses in the orbitofrontal region to examine the spatial specificity of the asymmetry. If prefrontal asymmetry were localized only to the dorsolateral region, we would expect to find no effects of task condition on asymmetry. As expected, there were no main effects in the orbitofrontal ROIs of action ($F_{1,16}=0.01$, $ns$) or valence ($F_{1,16}=0.06$, $ns$), and no action-by-valence interaction ($F_{1,16}=0.42$, $ns$; Figure 3b). The interaction between action and region testing whether the effect of action on asymmetry was greater in the dorsolateral than orbitofrontal region was marginally significant ($F_{1,16}=3.83$, $p<.07$).

We then conducted a series of post-hoc paired-samples t-tests to investigate whether the increased asymmetry during approach compared to avoidance actions was being driven by increased asymmetry during approach relative to baseline (i.e. left-baseline > right-baseline) or decreased asymmetry during avoidance relative to baseline (i.e. right-baseline > left-baseline). Neither comparison was significant (both $ps > .2$), suggesting that the main effect of action is driven by a combination of the increase in asymmetry during approach and decrease in asymmetry during avoidance.

**Correlations with trait measures of approach-avoidance**
We demonstrated above that, on average, action motivation is associated with dorsolateral prefrontal asymmetry. Next, based on prior findings that individual differences in approach-avoidance motivation are associated with asymmetry as measured by EEG (Amodio et al., 2004; Harmon-Jones & Allen, 1998), we tested whether trait-level motivation would moderate the main effect of action motivation on asymmetry described above. To do this, we correlated asymmetry in each ROI during each condition with scores on Carver and White’s (1994) BAS and BIS scales. For example, a positive correlation between approach motivation and left-right asymmetry during approach actions would suggest that, even though there is a main effect of action on average, individuals with higher approach motivation tend to show greater left-lateralized asymmetry than those with lower approach motivation during that condition.

Left-sided asymmetry was correlated with the overall BAS scale (controlling for BIS) during approach relative to baseline (overall: $r_{16} = .52, p < .05$; approach-pleasant: $r_{16} = .37, ns$; approach-unpleasant: $r_{16} = .29, ns$). The relationship between the overall BAS scale and approach actions, controlling for BIS, is shown in Figure 4. Asymmetry was not associated with BAS during avoidance actions relative to baseline ($r_{16} = .29, ns$), or with viewing pleasant ($r_{16} = .36, ns$) or unpleasant ($r_{16} = .35, ns$) stimuli compared to baseline. Because of the relatively small sample size, the non-significant correlations were not significantly different from the significant association between BAS and approach action motivation. These correlations indicate that trait approach (but not avoidance) motivation moderates asymmetry specifically during approach actions, but not during viewing of pleasant stimuli or avoidance actions.
Based on this finding for the overall (i.e. average) BAS scale, we also examined the correlation of each of the BAS subscales during approach-baseline. The subscale correlations revealed a similar pattern of results in terms of direction and magnitude, though the only subscale that yielded a significant correlation with asymmetry was the Drive subscale during approach-baseline ($r_{16} = .55, p < .05$).

Finally, we examined the relationship of trait avoidance (as measured with the BIS scale) with asymmetry. A negative correlation between avoidance and asymmetry would indicate that higher levels of trait avoidance were associated with greater right-sided asymmetry (because asymmetry is always calculated as left-right). Asymmetry was not significantly correlated with BIS (controlling for overall BAS) in any of the four conditions individually, nor with any of the marginal averages (e.g. approach actions averaging across pleasant and unpleasant valences; all $ps > .1$).

Discussion

The present study is the first to use fMRI to disentangle the contributions of action motivation and stimulus valence in hemispheric asymmetry. Previous fMRI studies demonstrated that positively and negatively valenced stimuli, that naturally induce a tendency to approach or avoid, respectively, are associated with asymmetry, but these studies could not specify whether the asymmetry was linked to action motivation, valence, or both. Our findings address this limitation by demonstrating that asymmetry is associated with action rather than valence, and specifically that approach motivation is associated with increased activation in the left relative to right prefrontal cortex. Furthermore, the prior work untangling action from valence has used EEG and has yet to be replicated using an alternative neuroimaging method such as fMRI. Using fMRI in
combination with ROIs that were guided by previous work allowed us to replicate findings from the EEG literature to localize the asymmetry to the dorsolateral and not the orbital aspects of the prefrontal cortex. Additionally, consistent with our expectations, trait motivation moderated the asymmetry effect such that individuals with higher levels of trait approach motivation demonstrated increased asymmetry during approach actions.

We used the novel Nochmani experimental paradigm (Berkman et al., 2009) to completely cross two variables that had previously been confounded—action motivation (approach vs. avoidance) and stimulus valence (pleasant vs. unpleasant). This allowed us to independently assess the degree to which hemispheric asymmetry was linked to action and valence. The data suggest that left-sided asymmetry is associated with approach actions and not viewing of pleasant stimuli per se. These results are consistent with findings by Harmon-Jones and colleagues (Harmon-Jones & Allen, 1997, 1998; Harmon-Jones et al., 2001) that prefrontal asymmetry is associated with state and trait anger, and that anger is an approach-related emotion (Carver & Harmon-Jones, 2009). The fact that we observed increased left-lateral asymmetry in response to non-anger unpleasant emotions (e.g. disgust) when they were coupled with an approach response adds convergent evidence to this view.

Our finding that prefrontal hemispheric asymmetry is tied to action motivation rather than stimulus valence fits with current goal theory and our understanding of the functions of the prefrontal cortex. In a hierarchical model of goal pursuit, behavior can be determined by both proximal and distal motives that vary in abstraction (Elliot, 2006; Elliot & Church, 1997). For example, in the Nochmani paradigm, behavior can be motivated by either the proximal, lower-level, hedonic value of the stimulus (i.e.
motivation to approach pleasant and avoid unpleasant stimuli), or by the more distal, higher-level, instrumental goal of success (i.e. motivation to respond correctly even if that sometimes requires approaching unpleasant and avoiding pleasant stimuli). As with many real-world goals, acting in line with the higher-level goal—even when it is in opposition to the lower-level hedonic value—is the only way to reliably attain success on the Nochmani task. Thus, one novel and important of the Nochmani task is that it contains conditions that require top-down control to promote higher-level goals over lower-level tendencies. The high rates of success by the participants in the present investigation suggest that they were engaging control by acting according to the higher-level goal and not the lower-level stimulus-driven response. At a neuroanatomical level, there is broad consensus that the main role of the prefrontal cortex is to plan and coordinate precisely this kind of goal-directed action that often requires control (Fuster, 2008; Miller & Cohen, 2001). In contrast, the experience of pleasant and unpleasant affective states is often associated with activation in subcortical structures such as the amygdala, insula, anterior cingulate cortex, and basal ganglia (Damasio et al., 2000; Drevets et al., 1997; George, Ketter, Parekh, Herscovitch, & Post, 1996; Mayberg et al., 2000; Morris, Ohman, & Dolan, 1998; Phan, Wager, Taylor, & Liberzon, 2002; Phillips et al., 2004; Whalen et al., 2001). One meta-analysis explicitly searched for hemispheric asymmetries between pleasant and unpleasant emotions and found no such asymmetries (Wager, Phan, Liberzon, & Taylor, 2003). At least in the current study where attaining an abstract goal was linked to action motivation, it makes sense that action motivation, and not stimulus valence, is associated with asymmetrical prefrontal activity. It is an open question whether this interpretation would hold for other findings in this literature.
The notion that there are hemispheric asymmetries in approach compared to avoidance action motivation is broadly consistent with prior work investigating processes that can be characterized as predominantly approach or avoidance. For instance, engaging in an avoidant emotion regulation strategy (e.g. by taking a distant or detached perspective on emotional stimuli) has been shown to produce activation in the right lateral PFC (Kalisch et al., 2005). Being distracted during a painful stimulus has also been shown to increase activation in this region (Bantick et al., 2002). Finally, Mitchell and colleagues (2007) found increases in right dorsolateral PFC when participants attempt to avoid thinking about white bears. On the other hand, researchers have noted left lateral prefrontal activation during approach-oriented tasks such as the planning and execution of tool use (Frey, 2007, 2008; Kroliczak & Frey, 2009), action selection (Schluter, Krams, Rushworth, & Passingham, 2001), and action planning (Bohlhalter et al., 2008). Though suggestive, these studies often do not explicitly compare left to right activation, and thus the current study makes an important contribution by doing so. It is also unclear whether action planning is intrinsically approach-oriented, or whether the planning of avoidance actions is different but simply not yet been investigated. Future research can build upon these studies with the aim of clarifying the action motivation of their tasks and directly assessing hemispheric asymmetries.

Our use of *a priori* regions-of-interest to directly compare activation in left and right PFC during approach and avoidance actions is novel, and allows for several interesting inferences. First, though functionally-defined ROIs have been compared in the past (e.g. Herrington, et al., 2005), the regions used in the present paper are relatively large for fMRI. The fact that we were able to replicate findings from EEG, which has a
larger spatial extent than fMRI, suggests that using large ROIs can be a useful tool to link across the two methods. Second, it is interesting to note that asymmetries emerge in an ROI analysis though there have been no obvious asymmetries in previous fMRI studies of action motivation (e.g. Wager et al., 2003). It might be the case that asymmetries between approach- and avoidance-related action motivations may be somewhat distributed across the dorsolateral prefrontal cortex, or occur consistently at small number of sites that are each below traditional significance thresholds. Besides pointing to the general utility in comparing the results from ROI and whole-brain analyses, this observation highlights the need for future research to better understand the psychological and neural components of approach-related motivation and actions.

Finally, we found that individuals with higher trait-level approach motivation showed increased left-sided asymmetry during approach actions. This correlation was specific to approach actions and did not generalize to avoidance actions, or to either valence condition averaging across action motivation. Interestingly, trait-level avoidance motivation (as measured by Carver and White’s 1994 BIS scale) was not associated with asymmetry in any condition. The finding that BIS scores were not associated with asymmetry replicates other findings (e.g. Amodio et al., 2008), and is consistent with the notion that this scale is relevant to conflict monitoring and detection more than avoidance actions per se. Thus, the fact that the present study was designed to maximize correct responses may contribute to this null finding. Indeed, Amodio and colleagues (2008) found that during a go/no-go task, BIS correlated with one ERP signal (the N2) during successful inhibition (“no-go” trials) but not successful execution (“go” trials), and with another signal (the error-related negativity) during failed inhibition but not during
successful inhibition trials. Each of these findings supports the role of BIS in conflict monitoring and error detection rather than avoidance behaviors more broadly.

Future research can build on the current study in a more realistic goal pursuit context. Though one of the strengths of the Nochmani paradigm is the external validity of the stimuli, the task itself is meant to be a laboratory model for real-world goal pursuits such as dieting or smoking cessation. Based on the current results, it might be the case that prefrontal asymmetry plays a role in coordinating goal-directed approach and avoidance actions, and further, that individual differences in approach-avoidance motivation moderate asymmetry and are thus relevant to goal pursuit. We hope that the insights from the present study will inform future work not only on the neuroanatomical underpinnings of action motivation but also on the complex goals to which those behaviors and motivations are applied.
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References


Figure captions

Figure 1. The Nochmani task. (A) Trials are drawn from one of four experimental conditions defined by the 2 (Action motivation: Approach/Avoidance) x 2 (Valence: Pleasant/Unpleasant) within-subjects factorial design. (B) Two-second trials are presented in blocks of ten that are composed predominantly (80%) of trials from one cell.

Figure 2. The regions-of-interest (ROIs) for the main analysis. Further details are provided in the text. (A) The dorsolateral region ($z \geq 2$) and (B) the orbitofrontal region ($z \leq 0$) defined using the AAL atlas.

Figure 3. Asymmetry scores in the (A) dorsolateral and (B) orbitofrontal prefrontal cortex. Each score was generated by calculating the average left-right change from baseline during each condition defined by the 2 (action) x 2 (valence) factorial design. The only significant effect is the main effect of action in the dorsolateral region ($F_{1,16} = 7.07, p < .02$). This effect was marginally significantly larger than the effect in the orbitofrontal region ($F_{1,16} = 3.83, p < .07$). Error bars represent two standard errors.

Figure 4. The correlation between left-sided asymmetry and trait approach motivation (as measured by the BAS scale) during approach actions. $r_{16} = .52, p < .05$. 