

**The Neural Correlates of Persuasion: A common network  
across cultures and media**

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3 RUNNING HEAD: NEURAL BASES OF PERSUASION  
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14 The Neural Correlates of Persuasion: A common network across cultures and media  
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## Abstract

Persuasion is at the root of countless social exchanges in which one person or group is motivated to have another share its beliefs, desires, or behavioral intentions. Here, we report the first three functional magnetic resonance imaging (fMRI) studies to investigate the neurocognitive networks associated with feeling persuaded by an argument. In the first two studies, American and Korean participants, respectively, were exposed to a number of text-based persuasive messages. In both Study One and Study Two, feeling persuaded was associated with increased activity in posterior superior temporal sulcus (pSTS) bilaterally, temporal pole (TP) bilaterally, and dorsomedial prefrontal cortex (DMPFC). The findings suggest a discrete set of underlying mechanisms in the moment that the persuasion process occurs, and are strengthened by the fact that the results replicated across two diverse linguistic and cultural groups. Additionally, in a third study, region of interest (ROI) analyses demonstrated that neural activity in this network was also associated with persuasion when a sample of American participants viewed video-based messages. In sum, across three studies, including two different cultural groups and two types of media, persuasion was associated with a consistent network of regions in the brain. Activity in this network has been associated with social cognition and mentalizing and is consistent with models of persuasion that emphasize the importance of social cognitive processing in determining the efficacy of persuasive communication.

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3 Persuasion is a common social exchange in which one person or group attempts to convince  
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5 another of its beliefs, desires, or behavioral intentions. Aristotle devoted an entire volume to the  
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7 mechanisms of persuasion, attesting to the enduring significance of this type of human interaction  
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9 (Aristotle, 1926). He suggested that an individual might be persuaded as a result of the logic of an  
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11 argument (*logos*), the emotional appeal of an argument (*pathos*), or factors related to the source of  
12  
13 the persuasive message (*ethos*). Reasoning, emotion, and characteristics of the message source  
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15 have continued to be central factors examined in modern models of persuasion and attitude change,  
16  
17 although the terminology used to describe these factors has changed to include ideas such as  
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19 cognitive elaboration, affective appeal, and perceived similarity to the message source (Albarracin,  
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21 Johnson, & Zanna, 2005; Chaiken, Liberman, & Eagly, 1989; Crano & Prislin, 2008; Eagly &  
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23 Chaiken, 1993; Johnson, Maio, & Smith-McLallen, 2005; Petty & Cacioppo, 1986; Stayman &  
24  
25 Batra, 1991; Zajonc & Markus, 1982).

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31 Because behavioral methods can only assess one measure at a time, it has not been possible  
32  
33 to assess the simultaneous cognitive, affective, and social processes that may occur in concert  
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35 during persuasion attempts or determine the relative priority with which each contributes to  
36  
37 effective persuasion. Limitations of introspective self-reports are well documented (Nisbett &  
38  
39 Wilson, 1977; Wilson & Schooler, 1991); even implicit measures, which circumvent self-report  
40  
41 difficulties, are incapable of assessing persuasion processes at the moment they are occurring  
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43 without simultaneously imposing a concurrent cognitive task. Using behavioral methods, attempts  
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45 to measure persuasion while it is actually occurring would almost certainly alter the persuasion  
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47 process itself.

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52 Although having limitations of its own, fMRI has some important advantages in the study of  
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54 persuasion and therefore is an important complement to existing methodologies. Critically, fMRI  
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56 allows the neurocognitive processes associated with persuasion to be assessed as they unfold and  
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2 thus the processes operative at the moment of persuasion can be identified without interruption.

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4 Additionally, fMRI is not constrained to examine a single process at a time. Because there are well-  
5  
6 established neural networks associated with cognitive, affective, and social processes (Cabeza &  
7  
8 Nyberg, 2000; Lieberman, 2007), the presence or absence of each of these processes can be  
9  
10 examined simultaneously. Based on previous persuasion research, a number of candidate  
11  
12 neurocognitive networks that might contribute to the persuasion processes were identified. If  
13  
14 argument logic, emotional appeal, and message source characteristics are factors that impact  
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16 persuasion under different circumstances, as both Aristotle and modern research suggests, then  
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18 deliberative reasoning (associated with activity in the lateral prefrontal and parietal cortices),  
19  
20 emotional processing (associated with activity in the limbic system), and social cognition  
21  
22 (associated with activity in dorsomedial prefrontal cortex, posterior superior temporal sulcus, and  
23  
24 temporal poles), respectively, are psychological processes that should relate to experiencing an  
25  
26 argument as persuasive (Albarracin, et al., 2005; Cabeza & Nyberg, 2000; Campbell & Babrow,  
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28 2004; Chaiken, et al., 1989; Crano & Prislin, 2008; Eagly & Chaiken, 1993; Johnson, et al., 2005;  
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30 Lieberman, 2007; Petty & Cacioppo, 1986; Stayman & Batra, 1991; Zajonc & Markus, 1982). In  
31  
32 addition, memory encoding (Chaiken, et al., 1989; Stayman & Batra, 1991) and self-referential  
33  
34 processing (Meyers-Levy & Peracchio, 1995), the former of which has been associated with activity  
35  
36 medial temporal lobe and left ventrolateral prefrontal cortex (VLPFC), and the latter of which has  
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38 been associated with activity in medial prefrontal cortex and precuneus/posterior cingulate, may  
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40 contribute to persuasion effects under some circumstances.  
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50 In this paper, we report three functional magnetic resonance imaging (fMRI) studies that  
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52 begin to elucidate the neurocognitive networks associated with feeling persuaded across two  
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54 different cultural/linguistic groups (Americans and Koreans), and across two different categories of  
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56 media conveying persuasive messages (text-based arguments and video-based commercials). We  
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3 used a within subjects design allowing us to correlate the individual experience of persuasion with  
4  
5 neural activity in order to explore which of the above networks and regions are reliably associated  
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7 with persuasion across individuals. We also conducted between groups analyses to examine these  
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9 effects across two cultural groups to identify points of convergence and divergence as a function of  
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11 culture.  
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### 13 14 Materials and Methods (Studies One and Two)

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17 In a first study, fifteen American participants simultaneously read and heard arguments related  
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19 to a number of different objects and activities (e.g. flossing, blood donation) during an fMRI  
20  
21 scanning session. Participants were reminded of each argument and asked to rate its persuasiveness  
22  
23 shortly after exiting the scanner. In order to identify the neural mechanisms associated with finding  
24  
25 an argument persuasive, we compared blood-oxygenation-level-dependent (BOLD) response as  
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27 participants were exposed to trials that they subsequently rated as persuasive relative to BOLD  
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29 response during trials that they subsequently rated as unpersuasive.  
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34 Numerous social science phenomena studied exclusively within Western countries (i.e. North  
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36 America, Western Europe) were once thought to be universal until examination of those phenomena  
37  
38 in East Asian populations revealed strong cross-cultural differences (Markus & Kitayama, 1991;  
39  
40 Nisbett, 2003). Likewise, persuasive effects have been shown to differ along cultural dimensions  
41  
42 such as individualism/collectivism (Aaker & Williams, 1998; Khaled, Ronald, Noble, & Biddle,  
43  
44 2008; Kreuter & McClure, 2004; Uskul, Sherman, & Fitzgibbon, 2009). We therefore conducted a  
45  
46 second study within a cultural neuroscience framework (Chiao & Ambady, 2007) using the same  
47  
48 methodology but with a culturally different sample to replicate the findings and examine whether  
49  
50 they would generalize across cultural boundaries. Topics and wording were also reviewed by  
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52 individuals from America and Korea to confirm similar relevance of the topics and presentation in  
53  
54 each culture.  
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## NEURAL BASES OF PERSUASION 6

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3 *Participants (Study One)*. Fifteen participants (7 female, mean age = 20.75 , sd = 3.21) were  
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5 recruited from the UCLA subject pool and through mass emails and posted fliers, and received  
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7 either course credit or financial compensation for their participation. All participants were right-  
8  
9 handed, European American, born and raised in the United States, and spoke English as their first  
10  
11 language. Participants also met the following criteria related to fMRI safety: 1) were not  
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13 claustrophobic; 2) had no metal in their bodies (other than tooth fillings); 3) were not pregnant/  
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15 breast-feeding. Potential participants were excluded if they were currently taking any psychoactive  
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17 medication.  
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21 *Participants (Study Two)*. Fourteen participants (11 female, mean age = 22.06, sd = 3.96)  
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23 were recruited from the UCLA subject pool and from mass emails and posted fliers, and received  
24  
25 either course credit or financial compensation for their participation. All participants were right-  
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27 handed, Asian, were born and raised for more than half of their lifetime in Korea, and spoke Korean  
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29 as their first language. Participants met identical safety criteria to Study One.  
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33 *Materials (Studies One & Two)*. Materials for studies one and two included text-based  
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35 persuasive arguments about 20 different objects and activities. Each set of arguments about a given  
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37 object or activity consisted of five phrases (one main argument and four supporting phrases),  
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39 resulting in 100 total phrases across the 20 blocks. Phrases were developed by a team of American  
40  
41 and Korean researchers to minimize cultural biases. The phrases were selected to be highly  
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43 comprehensible, range in level of persuasiveness, and pertain to objects and activities about which  
44  
45 people were likely to have weak initial attitudes. In Study One, all phrases and instructions were  
46  
47 presented in English. In Study Two, phrases and instructions were presented in Korean. Individual  
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49 difference measures relevant to culture including individualism/collectivism (Singelis, Triandis,  
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51 Bhawuk, & Gelfand, 1995; Triandis, 1995) and independence/interdependence (Singelis, 1994)  
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53 were collected from each participant.  
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3       *Translation (Study Two)*. Instructions and stimuli were all translated by a native Korean  
4 speaking, professional translator with prior experience working in and translating for the  
5 psychological sciences. After discussion of the aims of the research, the primary translator  
6 provided a first draft translation, which was reviewed by a bilingual member of the research team,  
7 and corrections were made in line with the scientific goals of the study. After approval of all  
8 changes by the primary translator, a second, native English speaking, translator was hired to provide  
9 a back-translation to correct any errors. All mismatches were addressed and the final translation  
10 was approved by the primary translator, the secondary translator and a bilingual reviewer on the  
11 research team.  
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24       *Procedure (Studies One & Two)*. While in an fMRI scanner, each participant viewed all 20  
25 blocks (100 phrases) arranged into four runs, with order of the runs counterbalanced across subjects.  
26 Each run contained five randomly ordered blocks, with each block pertaining to a different object or  
27 activity. Each block began with one argument phrase followed by four supporting phrases, for a  
28 total of five phrases about any given object or activity. Blocks ranged from 33-61 seconds in  
29 English, and 33-57 seconds in Korean, and were separated by a 15 second fixation-cross baseline  
30 period. Participants were instructed to read each phrase, to consider each phrase carefully, and were  
31 told that they would later be asked some questions about what they had read (persuasion was not  
32 mentioned at any point prior to the post-scan questionnaires). The instructions were repeated before  
33 each run. In order to control for reading speed, each phrase displayed on the screen was also  
34 presented aurally via pre-recorded cues. Following the scanner session, participants were asked to  
35 rate whether each group of phrases as a whole was persuasive on a four point scale (*This*  
36 *paragraph, as a whole, is PERSUASIVE: 1 = Disagree Strongly 2 = Disagree Somewhat 3 = Agree*  
37 *Somewhat 4 = Agree Strongly*). Participants also rated the extent to which they believed that the  
38 arguments were based on information and based on feelings, using the same four-point scale. Aside  
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3 from language, Korean and American participants completed an identical task.

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5 *Data Acquisition and Analysis.* Imaging data were acquired using a Siemens Allegra 3-Tesla  
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7 head-only MRI scanner at the UCLA Ahmanson-Lovelace Brainmapping Center. Head motion was  
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9 minimized using foam padding and surgical tape; goggles were also fixed in place using surgical  
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11 tape connecting to the head coil and scanner bed. A set of high-resolution structural T2-weighted  
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13 echo-planar images were acquired coplanar with the functional scans (spin-echo; TR=5000 ms;  
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15 TE=33ms; matrix size = 128 x 128; 36 sagittal slides; FOV=20 cm; 3 mm thick; voxel size = 1.6 x  
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17 1.6 x 3.0mm). Four functional runs were recorded (echo-planar T2-weighted gradient-echo,  
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19 TR=2000 ms, TE=25 ms, flip angle = 90°, matrix size = 64 x 64, 36 axial slices, FOV=20 cm, 3mm  
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21 thick; voxel size = 3.1 x 3.1 x 3.0 mm) lasting 328, 312, 310, 298 seconds respectively for Study  
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23 One, and 321, 302, 307, 295 seconds respectively for Study Two.

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29 The data were analyzed using Statistical Parametric Mapping (SPM5, Wellcome Department  
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31 of Cognitive Neurology, Institute of Neurology, London, UK). Images were realigned to correct for  
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33 motion, slice timed, normalized into standard stereotactic space (Montreal Neurological Institute,  
34  
35 MNI), and smoothed with an 8mm Gaussian kernel, full width at half maximum. The task was  
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37 modeled for each participant using a weighted linear contrast, comparing neural responses during  
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39 arguments rated persuasive [rating of 3 or 4] or unpersuasiveness [rating of 1 or 2]; the subjects'  
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41 primary ratings were used to sort the blocks (persuasive or not) for each individual and then a 1, -1  
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43 dummy variable was used for persuasive or not. All analyses were run at a threshold of  $p < .001$ ,  
44  
45 uncorrected, with a 5 voxel extent threshold. All coordinates are reported in MNI space.

#### 46 47 48 49 Results (Studies One and Two)

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52 *Study One: Persuasiveness of Text-Based Messages (American Participants).* In examining the  
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54 neural response to persuasive compared to unpersuasive arguments in American participants  
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56 viewing text-based messages, dorsomedial prefrontal cortex (DMPFC), bilateral posterior superior  
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3 temporal sulcus (pSTS), and bilateral temporal pole (TP), were each more active during the  
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5 presentation of an argument that was subsequently rated as persuasive compared to arguments that  
6  
7 were rated as unpersuasive (Table 2a, Figure 1). These three regions have been repeatedly observed  
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9 to be co-active in ‘theory of mind’ and mentalizing studies (Frith & Frith, 2003) and do not  
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11 typically appear together during other kinds of processing (Cabeza & Nyberg, 2000). Mentalizing  
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13 refers to the ability to infer the mental states (desires, intentions and beliefs) of other people, and  
14  
15 has been extensively studied in the brain (Frith & Frith, 2003).  
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19 Bilateral medial temporal lobe and left ventrolateral prefrontal cortex (VLPFC), regions often  
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21 implicated in memory processes (Badre & Wagner, 2007; Wagner, Schacter, Rotte, Koutstaal,  
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23 Maril, Dale, Rosen, & Buckner, 1998), were also more active to persuasive, relative to  
24  
25 unpersuasive, arguments. Visual cortex was the only other brain region where activity was greater  
26  
27 during persuasive than unpersuasive passages.  
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31 *Study Two: Persuasiveness of Text-Based Messages (Korean Participants).* The results of  
32  
33 Study Two were remarkably consistent with Study One (Figure 1; Table 2a). In fact, there was no  
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35 brain region significantly activated to persuasive, relative to unpersuasive messages, in one sample  
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37 that was not significantly activated in the other sample. A conjunction analysis also confirmed that  
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39 there was overlap in all key regions at  $p < .005$ , uncorrected (Table 4).  
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43 *Cross Cultural Differences.* Examining individual differences that commonly differ by  
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45 cultural group, we found that the American sample was higher in independence (mean\_american =  
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47 5.15; mean\_korean = 4.48,  $t(27) = 2.88$ ,  $p < .01$ ), and horizontal individualism (mean\_american =  
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49 6.73, mean\_korean = 6.13,  $t(27) = 2.28$ ,  $p < .05$ ), while the Korean group was higher in vertical  
50  
51 collectivism (mean\_american = 5.02, mean\_korean = 6.09,  $t(27) = 2.85$ ,  $p < .01$ ). Group means for  
52  
53 measures of interdependence (mean\_american = 4.76, mean\_korean = 5.13) and vertical  
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55 individualism (mean\_american = 5.61, mean\_korean = 5.30) were in the expected direction, but  
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3 were not statistically significant at  $p < .05$ .

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5 Examining behavioral responses to the persuasive messages, the correlation across average  
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7 block persuasiveness ratings followed a similar pattern between groups ( $r = .83$ ), as did the average  
8  
9 information ratings ( $r = .85$ ). Furthermore, none of the average persuasion ratings for a block  
10  
11 differed across groups at  $p < .05$  (See Table 1a). A paired samples t-test (pairing across items) also  
12  
13 suggested that there were no significant differences in average persuasion ( $t(19) = 1.41$ ,  $p = n.s.$ ) or  
14  
15 information ratings ( $t(19) = 1.72$ ,  $p = n.s.$ ) across samples. While the average block emotion scores  
16  
17 were also highly correlated between samples ( $r = .75$ ), on average Korean participants rated the  
18  
19 arguments as more emotional than did the American participants ( $t(19) = 2.81$ ,  $p = .01$ ).  
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24 Comparing neural activation in the two samples, although the same set of brain regions were  
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26 active in the American and Korean samples, there were statistical differences in activity when the  
27  
28 samples were directly compared to one another. A variety of areas were more active in American  
29  
30 participants (compared to Korean participants) when viewing arguments that were later rated as  
31  
32 persuasive (compared to those that were rated as unpersuasive). These included areas that are  
33  
34 typically implicated in emotion processing (amygdala, ventral striatum), social cognition (pSTS,  
35  
36 posterior cingulate cortex), and memory encoding (medial temporal lobe; see Table 3, Figure 2).  
37  
38 In examining areas that were more active in Korean participants (compared to American  
39  
40 participants) for persuasive (compared to unpersuasive arguments), the only regions showing  
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42 increased activity were in areas of inferior occipital cortex associated with visual processing.  
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#### 48 Materials and Methods (Study Three)

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50 In addition to replicating across culturally diverse groups, we explored whether the results  
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52 would replicate across stimulus modality (i.e. beyond text-based persuasive messages). Therefore,  
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54 in a third study, we measured BOLD signal as participants viewed a series of video-based  
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56 commercials. The design and the analysis of this study differed from the first two in the following  
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3 ways: in terms of design, participants viewed professionally-developed video-based commercials  
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5 as persuasive stimuli instead of text-based messages, and participants rated how persuasive they  
6  
7 found each video immediately after seeing the clip instead of waiting to exit the scanner as they had  
8  
9 in studies One and Two; in terms of analysis, we interrogated specific regions based on the  
10  
11 activations reported above in addition to whole-brain analyses. This analysis was motivated by the  
12  
13 strong similarity in the activations observed in Study One and Two, and tested whether the same  
14  
15 discrete network of brain regions were associated with persuasion across stimulus modality and  
16  
17 diverse participant samples. To begin to test this, in Study Three, we created a set of regions of  
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19 interest (ROIs) based on functional responses during Study One and examined the relationship of  
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21 activity in those regions to persuasion in Study Three.  
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26 *Participants (Study Three).* Twenty-seven European-American participants (15 female, mean  
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28 age = 20.11 , sd = 2.66) were recruited from the UCLA subject pool and through mass emails and  
29  
30 posted fliers, and received either course credit or financial compensation for their participation.  
31  
32 Participants met identical exclusion and safety criteria as in Study One.  
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36 *Materials (Study Three).* Widely viewed commercials were piloted to develop a final set of  
37  
38 test videos. All videos were selected to be highly comprehensible, to range in level of  
39  
40 persuasiveness, and pertain to objects and activities about which people were likely to have weak  
41  
42 initial attitudes.  
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46 *Procedure (Study Three).* While in an fMRI scanner, each participant viewed all commercials  
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48 arranged into two runs, with order of the runs counterbalanced across subjects. Commercials  
49  
50 ranged from 30 sec to 75 seconds, and were separated by a 15 second fixation-cross period.  
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52 Participants were instructed to watch each video, and were told that they would later be asked some  
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54 questions about what they had seen. Directly following each video clip, participants were asked to  
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56 rate whether the clip was persuasive on a four-point scale (*PERSUASIVE*: 1 = *Not at all*, 4 =  
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3 *Definitely*). Equivalent ratings were also made for *INFORMATIVE* and *EMOTIONAL*.

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5 *Data Acquisition and Analysis.* Imaging data were acquired using the same physical setup  
6  
7 and imaging parameters as described in Studies One and Two. Two functional runs were recorded  
8  
9 lasting 481 seconds and 422 seconds, respectively. The data were analyzed using Statistical  
10  
11 Parametric Mapping (SPM5, Wellcome Department of Cognitive Neurology, Institute of  
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13 Neurology, London, UK). Images were realigned to correct for motion, normalized into standard  
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15 stereotactic space (Montreal Neurological Institute, MNI), and smoothed with an 8mm Gaussian  
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17 kernel, full width at half maximum.  
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21 The task was modeled at the first level in two ways: first using an ANOVA model to compare  
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23 activity during the task to activity during rest, and then as a regression relating neural activity to  
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25 online persuasiveness ratings for each video. Based on the results from Studies One and Two, and  
26  
27 the prior literature linking posterior superior temporal sulcus, temporal poles, and dorsomedial  
28  
29 prefrontal cortex to social cognition, we hypothesized that activity in this network would be  
30  
31 associated with persuasion during Study Three. To directly test this hypothesis, we extracted  
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33 regions of interest (ROI) based on functional activations from Study One (thresholded at  $p = .005$ ,  
34  
35 uncorrected) that were within the dorsomedial prefrontal cortex, temporal poles and posterior  
36  
37 superior temporal sulcus as defined by the Automated Anatomical Labeling atlas (AAL; (Tzourio-  
38  
39 Mazoyer, Landeau, Papathanassiou, Crivello, Etard, Delcroix, Mazoyer, & Joliot, 2002). Thus, we  
40  
41 created functionally defined ROIs based on Study One effects that were anatomically constrained  
42  
43 by *a priori* hypotheses. For each subject, we created six ROIs (right pSTS, left pSTS, right TP, left  
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45 TP, and two regions in DMPFC) that each represented the average across all voxels within the  
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47 circumscribed region using Marsbar (Brett, Anton, Valabregue, & Poline, 2002).  
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55 Lastly, in order to explore whether regions outside of the putative social cognition network  
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57 were also activated in response to persuasive, compared to unpersuasive videos, we conducted a  
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3 further exploratory whole-brain analysis, using a threshold of  $p < .001$ , uncorrected, with a 5 voxel  
4  
5 extent threshold. All coordinates are reported in MNI space.  
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### 7 8 Results (Study Three)

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10 Comparing the two American groups behaviorally, the video-based messages in study three  
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12 were rated as less persuasive than the text-based messages in study one (mean\_american\_text =  
13  
14 2.98, mean\_american\_video = 2.39;  $t(30) = 2.78$ ,  $p < .01$ ), with the video-based messages being rated  
15  
16 as less informative (mean\_american\_text = 3.07, mean\_american\_video = 2.12;  $t(30) = 4.64$ ,  $p < .01$ )  
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18 and more emotional (mean\_american\_text = 2.46, mean\_american\_video = 2.91;  $t(26) = 1.84$ ,  
19  
20  $p = .03$ ) than the text-based messages (Table 1b). Examining the neural data, however, results from  
21  
22 our ROI analysis revealed that all regions of the social cognition network were associated with  
23  
24 persuasion, with the exception of the ROI in left pSTS (Table 5; Figure 3). Results from our whole-  
25  
26 brain search demonstrated that as in the Studies One and Two, finding arguments persuasive was  
27  
28 associated with increased activity in DMPFC, bilateral pSTS, bilateral TP, and left VLPFC (Figure  
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30 1; Table 2a). Aside from these regions, the only other region that was significantly activated in  
31  
32 response to persuasive compared to unpersuasive videos was ventromedial prefrontal cortex  
33  
34 (VMPFC), a region that has typically been associated with affective processing and implicit  
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36 evaluation (Knutson, Wood, Spampinato, & Grafman, 2006; Koenigs & Tranel, 2008; McClure, Li,  
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38 Tomlin, Cypert, Montague, & Montague, 2004).  
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### 45 46 Discussion

47  
48 Taken together, these results suggest that across linguistically and culturally diverse groups,  
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50 as well as across different media, a distinct set of neural regions typically invoked by mentalizing  
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52 tasks are associated with the experience of persuasion. Moreover, using an ROI approach, nearly  
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54 all mentalizing regions that were sensitive to the experience of persuasion in a text-based message  
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56 task were also sensitive to the experience of persuasion in a video-based message task.  
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3 In sum, across all three studies, increased activity in DMPFC, pSTS, TP, and left VLPFC  
4 while viewing persuasive messages was associated with feeling persuaded afterwards. Consistent  
5 with work documenting the neural underpinnings of expert effects (Klucharev, Smidts, &  
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In sum, across all three studies, increased activity in DMPFC, pSTS, TP, and left VLPFC while viewing persuasive messages was associated with feeling persuaded afterwards. Consistent with work documenting the neural underpinnings of expert effects (Klucharev, Smidts, & Fernandez, 2008), persuasion was associated with increased activity in the medial temporal lobes and visual cortex in the first two studies, in which participants viewed text based messages and made ratings following the scanner session, but not in the third study when participants viewed video based messages and made ratings directly following each message. Persuasion was also associated with increased activity in the VMPFC in the third study.

The DMPFC, pSTS, and TP have well-documented roles in social cognitive and mentalizing tasks (Frith & Frith, 2003). The present work extends the role of this network to include the experience of persuasion. The notion that persuasion relies on a social cognition network is consistent with Emerson's proposal that the goal of persuasion "is to bring another out of his bad sense into your good sense" (Emerson, 1880). To the extent that coordinated activity in this mentalizing network reflects consideration of another person's mental state and perspective, our results suggest that Emerson may have been pretty close to the mark. Our results are also in line with prior behavioral research that has suggested a relationship between social cognition and persuasion (Campbell & Babrow, 2004). However, most behavioral studies of persuasion have not focused directly on perspective taking as a mechanism of persuasion, and thus these results suggest an important new direction for persuasion research.

The overlap between the brain regions associated with persuasion effects and mentalizing in Study Three is potentially revealing about how persuasion operates. In Studies One and Two, there was a single voice conveying all of the arguments; however, in Study Three, there was no obvious person serving as the message source in the video advertisements. Thus, in Study Three, there was no individual to mentalize about or whose perspective to take. One intriguing prospect is that



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2  
3 mentalizing about a particular person's beliefs, desires, and intentions is just a special case of  
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5 thinking about beliefs, desires, and intentions more generally, regardless of whether they are tied to  
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7 a particular individual's mind or presented as part of a more general argument. In other words,  
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9 these regions may be involved in considering a point-of-view with or without a particular source.  
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11 Humans are surrounded by signs and other artifacts that suggest particular beliefs (e.g. smoking is  
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13 bad) without these signs referring back to a particular person who is promoting this belief.  
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15 Although we typically associate perspectives and points-of-view with individuals, content often has  
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17 a perspective long after its association with the content creator is lost.  
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21 Left VLPFC was the only other region that was more active in response to persuasive  
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23 compared to unpersuasive messages in all three studies. Given that mid-VLPFC (pars triangularis)  
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25 was the specific region of VLPFC activated in each study, it is plausible that this region plays a role  
26  
27 in selecting among competing beliefs and memory representations regarding the persuasion topic.  
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29 This sub-region of VLPFC has been regularly observed in studies of memory selection (selecting  
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31 among multiple activated memory representations) and emotional reappraisal (in which a new  
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33 interpretation for an event is selected over a prior interpretation) (Badre & Wagner, 2007; Ochsner  
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35 & Gross, 2005). As persuasion involves adopting a new interpretation over an existing one, VLPFC  
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37 may play a role in this selection process. Still, it is not yet clear what role VLPFC is playing in  
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39 persuasion, from the current findings alone.  
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45 Our results also speak to the modulation of neural responses by message medium. Although  
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47 the majority of regions observed in any one study were replicated across all three, and five out of  
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49 six regions in the main mentalizing network of interest were significantly active when using ROIs  
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51 from Study One to predict activity in Study Three, there were some differences between the  
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53 responses to persuasive text-based versus video-based arguments. For example, medial temporal  
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55 lobe was observed in response to persuasive compared to unpersuasive text based messages, while  
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3 VMPFC was observed in response to persuasive compared to unpersuasive commercials. It is  
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5 possible that this difference is related to the informational versus emotional content of the material.  
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7 VMPFC has been associated with emotional processing and medial temporal lobe has been  
8  
9 associated with cognitive processing. Thus, each region may have been sensitive to types of  
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11 appeals that were differentially emphasized through the two media. Manipulation checks  
12  
13 concerning the behavioral data support this distinction; the text-based messages in Studies One and  
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15 Two were rated as more information-based than the commercials in Study Three, whereas the  
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17 commercials were rated as more feelings-based than the text appeals.  
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21 The differential activations in medial temporal lobe and VMPFC may also reflect the temporal  
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23 distance between the persuasive messaging and self-reports of persuasion. In the first two studies,  
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25 persuasion was reported after leaving the scanner and thus encoded associations about the  
26  
27 persuasive messages, supported by medial temporal lobe, may have played a role in discriminating  
28  
29 which messages would subsequently be remembered as persuasive. In contrast, in the third study,  
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31 self-reports of persuasion were obtained after each message rendering memory processes less  
32  
33 relevant and immediate affective responses more relevant. VMPFC has been observed in multiple  
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35 studies of automatic affect (Kawasaki, Kaufman, Damasio, Damasio, Granner, Bakken, Hori,  
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37 Howard, & Adolphs, 2001; Knutson, et al., 2006) and non-reflective evaluations (Koenigs &  
38  
39 Tranel, 2008). Indeed, the VMPFC and medial temporal lobe trade-off is reminiscent of similar  
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41 results from studies of evaluation in the “Pepsi Challenge” (Koenigs & Tranel, 2008; McClure, et  
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43 al., 2004). In one fMRI study (McClure, et al., 2004), soda preferences based solely on immediate  
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45 experience of taste were associated with VMPFC activity, whereas soda preferences after seeing  
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47 brand names, which would presumably activate previously encoded associations, were linked to  
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49 medial temporal lobe activity.  
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57 Despite these differences, the results were remarkably consistent across American (Study  
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3 One) and Korean (Study Two) subjects when the same medium was used. When analyzed  
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5 separately, each group activated the same set of regions as the other. This provides initial support  
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7 for the generalizability of the results in the context of this type of communication. Nevertheless,  
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9 when pitted against one another, some differences did emerge cross-culturally. Specifically,  
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11 Americans appeared to engage brain regions involved in socioemotional processing to a greater  
12  
13 degree than did Koreans when reading persuasive, relative to unpersuasive, messages (Table 3;  
14  
15 Figure 2). Interestingly, while Korean participants explicitly rated the arguments as more emotional  
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17 than did the American participants, American participants showed comparatively more activity in  
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19 regions associated with affective processing (amygdala, ventral striatum). Given that there has been  
20  
21 relatively little research on cross-cultural differences in persuasion and the fact that cultural  
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23 neuroscience (Chiao & Ambady, 2007; Han & Northoff, 2008) is a relatively new field, the  
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25 implication of these differences is unclear. Future work that specifically targets known cultural  
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27 differences should help to make sense of the activation differences observed. For example, it will  
28  
29 be of interest to explore whether the neural response to differently framed messages (e.g.  
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31 individually framed versus collectively framed messages; gain/approach framed versus  
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33 loss/avoidance framed messages) elicit differing neural responses, in parallel with behavioral  
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35 studies suggesting differences along these dimensions (Aaker & Williams, 1998; Khaled, et al.,  
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37 2008; Uskul, et al., 2009). This will also complement interdisciplinary applications of cultural  
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39 psychology to fields such as public health and health communication (Kreuter & McClure, 2004).  
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47 In summary, these studies identify for the first time the neurocognitive processes occurring at  
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49 the moment that persuasion occurs. Neural activations associated with feeling persuaded were  
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51 almost exclusively, and repeatedly, associated with a neural network involved in mentalizing and  
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53 perspective taking. Furthermore, the specific regions identified within this network that were active  
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55 in response to persuasion following text-based messages also generalized to a task in which  
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3 participants were persuaded by video-based commercials. Building on the baseline provided here,  
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5 future work can use neuroimaging to further advance our understanding of how people are  
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7 persuaded and by what means.  
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Table 1a.

*Behavioral responses, Text-based messages.*

	AMERICANS						KOREANS					
	Avg Pers	Std Pers	Avg Info	Std info	Avg Emo	Std Emo	Avg Pers	Std Pers	Avg Info	Std info	Avg Emo	Std Emo
topic1	2.33	0.87	1.53	0.81	3.87	0.50	2.50	0.63	2.00	0.66	3.21	0.77
topic2	3.53	0.81	2.80	0.98	3.80	0.40	3.43	0.62	3.00	0.76	3.64	0.61
topic3	3.13	0.62	2.20	0.75	3.40	0.71	2.86	0.74	2.86	0.64	2.79	0.86
topic4	1.93	1.00	2.93	1.00	1.87	0.81	2.71	1.10	3.00	0.66	2.64	0.90
topic5	3.73	0.57	3.47	0.50	2.93	1.00	3.64	0.48	3.57	0.50	3.36	0.72
topic6	2.87	0.81	3.33	0.60	2.00	0.90	3.00	0.54	3.43	0.62	2.50	1.18
topic7	2.20	0.83	3.27	0.85	1.27	0.44	2.79	1.08	3.14	0.92	2.57	1.30
topic8	3.80	0.40	3.60	0.61	2.33	0.79	3.71	0.45	3.79	0.41	3.00	1.07
topic9	3.38	0.48	3.56	0.50	2.13	0.93	3.07	0.59	3.36	0.72	2.86	0.92
topic10	1.88	0.86	3.00	0.87	1.56	0.86	2.57	0.90	2.71	0.88	2.00	1.00
topic11	3.25	0.56	2.25	0.83	3.50	0.61	3.43	0.50	3.07	0.46	3.36	0.72
topic12	3.33	0.60	3.47	0.62	2.87	0.81	3.43	0.50	3.57	0.62	2.79	0.86
topic13	3.20	0.83	3.40	0.49	2.07	0.77	3.14	0.52	3.36	0.72	2.36	0.97
topic14	3.50	0.61	3.44	0.50	2.38	1.11	3.43	0.50	3.57	0.62	2.86	0.99
topic15	3.13	0.62	3.47	1.03	1.73	0.68	2.57	0.98	3.07	1.10	2.43	1.12
topic16	2.53	0.96	3.53	0.50	1.40	0.61	2.93	0.88	3.36	0.61	2.29	0.96
topic17	3.60	0.49	3.60	0.49	2.33	1.01	3.79	0.41	3.79	0.41	3.36	1.11
topic18	3.07	0.77	2.80	0.65	3.07	0.57	3.21	0.41	3.29	0.59	2.57	0.73
topic19	3.19	0.95	3.25	0.66	2.81	0.88	3.00	0.85	3.29	0.80	3.00	0.54
topic20	2.00	0.82	2.53	0.81	1.80	1.11	2.64	1.04	2.57	0.90	2.43	1.05

Table 1b.

*Behavioral responses, Video-based messages.*

	Avg Persuasive	Std Persuasive	Avg Emotional	Std Emotional	Avg Informative	Std Informative
Video1	2.81	0.83	2.81	0.88	2.63	0.74
Video2	3.11	1.01	3.22	0.75	2.89	1.01
Video3	2.44	0.93	2.90	0.96	1.70	0.72
Video4	1.85	0.91	2.96	1.09	1.60	0.57
Video5	2.81	0.96	2.78	0.97	2.59	0.80
Video6	1.74	0.66	2.41	1.22	1.15	0.46
Video7	2.35	1.00	2.77	1.01	2.42	0.65
Video8	2.35	0.92	3.07	0.88	2.23	0.75
Video9	3.19	0.69	2.58	0.85	2.66	0.79
Video10	1.77	0.75	3.38	0.65	2.00	0.49
Video11	1.85	0.91	3.15	0.94	1.46	0.65

## NEURAL BASES OF PERSUASION 22

Table 2a.

*Brain regions showing increased activity for persuasive relative to non-persuasive passages (thresholded at  $p < .001$ , uncorrected, 5 voxel extent).*

STUDY 1							
Phrases (Amer)	Brodmann Area	Laterality	X	Y	Z	t-stat	vox
DMPFC	9	L	-14	66	28	4.69	15
pSTS	22	L	-58	-36	4	4.82	418
pSTS	22	R	60	-26	-2	5.19	356
TP	21/38	L	-58	4	-26	3.99	10
TP	21/38	R	56	10	-20	4.11	8
VLPFC	45	L	-52	32	0	5.06	103
VLPFC	44	L	-48	14	18	5.15	94
HCMP		L	-16	-28	-4	4.94	176
HCMP		R	18	-30	-2	4.21	23
Lingual Gyrus	17/18	L	-10	-90	-14	6.30	434
STUDY 2							
Phrases (Kor)	Brodmann Area	Laterality	X	Y	Z	t-stat	vox
DMPFC	8/9	L	-8	54	48	4.83	27
pSTS	22	L	-60	-26	8	9.64	381
pSTS	22	R	66	-14	-4	7.96	276
TP	38	L	-50	18	-28	4.21	19
TP	38	R	54	16	-22	4.09	21
VLPFC	45	L	-58	28	14	12.07	43
VLPFC	45	L	-56	26	18	7.02	195
VLPFC	47	L	-44	48	-16	7.74	14
HCMP		L	-20	-30	-2	5.47	98
HCMP		R	26	-28	-2	5.02	43
Lingual Gyrus	17	L	-18	-88	-16	10.44	505
STUDY 3							
Video (Amer)	Brodmann Area	Laterality	X	Y	Z	t-stat	vox
DMPFC	9	L	-14	54	40	3.72	14
DMPFC	8/6		-2	24	60	4.53	163
pSTS	22	L	-54	-40	2	4.46	338
pSTS	22	R	50	-36	0	4.55	199
TP	21/38	L	-54	6	-28	4.36	15
TP	21/38	R	50	12	-30	1.98	103
VLPFC	47	L	-52	20	-2	3.91	76
VMPFC	11		-4	56	-12	3.72	60
VMPFC	11		2	26	-22	4.58	44



Table 2b.

Brain regions showing increased activity for non-persuasive relative to persuasive passages (thresholded at  $p < .001$ , uncorrected, 5 voxel extent).

STUDY 1						
Phrases (Amer)	Brodmann Area	Laterality	x,y,z	t-stat	vox	
Inferior parietal lobe	40	R	36 -46 48	8.13	406	
Inferior parietal lobe	40	L	-40 -58 48	4.22	12	
Insula	13	L	-34 12 -4	6.17	385	
Middle frontal gyrus	8	R	48 20 42	5.28	109	
Middle Temporal Gyrus	39	R	52 -74 14	5.07	62	
Middle Temporal Gyrus	37/21	R	58 -62 -2	4.78	73	
Postcentral Gyrus	3/1/2	R	44 -22 36	4.58	7	
Precuneus	7	L	-16 -46 50	4.39	15	
Precuneus	7/ 31	R	2 -50 44	4.78	117	
Precuneus	7	L	-8 -68 42	6.31	244	
SMA	6	R	4 22 64	6	89	
Superior frontal gyrus	10	R	28 48 8	4.25	8	
Superior frontal gyrus	6	L	-16 4 66	4.06	8	
Superior frontal gyrus/ middle frontal gyrus	9	R	20 42 34	9.02	278	
Superior Occipital	19	R	44 -82 26	5.04	42	
Superior Occipital	19	L	-38 -90 22	5.22	55	
Superior parietal	5	L	-24 -52 72	4.44	15	
Supramarginal Gyrus	40	L	-56 -28 34	4.68	71	
VLPFC	47	R	44 36 -6	6.72	70	
STUDY 2						
Phrases (Kor)	Brodmann Area	Laterality	x,y,z	t-stat	vox	
Inferior parietal lobe	40	L	-38 -48 42	5.04	36	
Inferior temporal gyrus	20	R	54 -26 -28	4.3	15	
Insula	13	L	-36 12 12	4.27	10	
Insula	13	R	42 4 4	5.75	314	
Middle Frontal Gyrus	46	R	28 40 32	4.52	50	
Middle Frontal Gyrus	10/46	L	-36 50 10	7.83	205	
Middle Frontal Gyrus	9	L	-30 46 34	6.89	250	
Middle occipital	19/39	L	-36 -88 32	7.32	143	
Middle Temporal Gyrus	39	R	42 -70 16	10.02	451	
OFC	11/47	R	24 30 -18	4.66	18	
OFC	47	R	32 12 -26	4.55	69	
SMA	6	R	18 10 66	5.33	114	
Superior frontal gyrus	10	R	20 66 10	4.41	18	
Superior frontal sulcus	8	R	26 24 40	4.46	61	
Temporal pole	38	L	-40 10 -20	7.52	453	
VLPFC	10/46	R	40 48 2	4.88	84	
STUDY 3						
Video (Amer)	Brodmann Area	Laterality	x,y,z	t-stat	vox	



## NEURAL BASES OF PERSUASION 24

1						
2	Calcarine	30	L	-18 -56 12	3.63	10
3	Fusiform/ parahippocampal gyrus	36	R	20 -40 -12	3.6	6
4	Inferior Occipital	19	L	-34 -88 24	5.12	242
5	Middle Occipital Gyrus	19	R	52 -74 6	6.52	286
6	Posterior cingulate	31	L	-16 -24 44	4.17	108
7	Precuneus	5/7	R	10 -44 58	4.86	220
8	Supra-marginal Gyrus	40	R	58 -26 34	4.01	37
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11 Note: DMPFC = dorsomedial prefrontal cortex; pSTS = posterior superior temporal sulcus; TP = temporal pole;

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13 VLPFC = Ventrolateral prefrontal Cortex; HCMP = hippocampus; VMPFC = Ventromedial Prefrontal Cortex;

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15 OFC = Orbitofrontal Cortex; Amer = American participants; Kor = Korean participants; vox = number of voxels

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Table 3.

*Regional differences between the American sample and the Korean sample for persuasive relative to unpersuasive arguments. It should be noted that these are relative activations across groups and thus may reflect the difference between two within group deactivations (thresholded at  $p < .001$ , uncorrected, 5 voxel extent).*

(Hi > Lo Persuasive)	Brodmann Area	Laterality	X	Y	Z	t-stat	voxels
<b>AMERICAN &gt; KOREAN</b>							
Amygdala		L	-13	0	-20	3.77	47
Middle temporal gyrus	22	R	56	-64	14	4.28	146
Medial temporal lobe	36/37	R	22	-38	-14	4.25	43
Medial temporal lobe	37	L	-34	-42	-14	3.93	36
Posterior cingulate	5/31	R	14	-34	62	4.45	119
Precentral gyrus	44	L	-48	0	20	3.94	11
Precentral gyrus	6	R	14	-14	74	3.74	25
Precentral gyrus	4	L	-6	-40	66	4.41	44
Postcentral gyrus	40	L	-54	-40	56	3.86	15
Postcentral gyrus	43	L	-66	-18	20	3.66	5
Supramarginal Gyrus	40	L	-56	-28	26	3.67	6
pSTS	39/22	R	44	-56	16	4.54	141
SubgenACC	25	R	8	22	-12	3.88	16
Superior frontal gyrus	8	R	24	28	46	3.53	656
Superior occipital gyrus	39	L	-48	-80	24	4.03	85
Ventral Striatum			4	14	-4	4.10	41
<b>KOREAN &gt; AMERICAN</b>							
Middle Occipital Gyrus	18	L	-28	-88	-4	3.88	61
Inferior Occipital Gyrus	18	R	40	-90	-12	4.29	68
Middle Occipital Gyrus	18	R	30	-92	8	3.83	52
IOC	18	L	-28	-90	10	4.08	67

Note: pSTS = posterior superior temporal sulcus; SubgenACC = subgenual anterior cingulate cortex.

Table 4.

*Results of conjunction analysis of activations in studies one and two, run at  $p < .005$ , uncorrected for each analysis.*

Region	Brodmann Area	Laterality	x,y,z (max)	t-stat (Amer)	t-stat (Kor)	vox
DMPFC	8/9	L	-12 60 36	3.54	3.37	29
DMPFC	9/10	L	-10 52 44	3.10	3.44	10
Lateral temporal cortex	21	L	-64 -22 -2	4.23	9.73	312
Temporal pole	21/38	L	-56 8 -18	3.33	7.26	142
pSTS	22	L	-56 -30 8	4.00	9.13	482
pSTS	22	R	68 -14 -6	4.60	7.75	214
Lateral temporal cortex	21	R	58 -4 -6	3.58	8.93	282
Temporal pole	21/38	R	60 4 -16	3.34	6.68	114
VLPFC	45	L	-58 28 10	4.16	6.12	264
HCMP		L	-16 -30 -2	4.91	5.10	216
HCMP		R	24 -26 -4	3.86	4.97	71
Precentral gyrus	6	L	-52 0 50	4.34	8.08	346
Middle Occipital Gyrus	18	L	-18 -106 4	5.19	15.47	274
Cuneus	18	R	24 -100 -8	5.12	12.83	279
Lingual Gyrus	18	L	-14 -90 -16	6.02	9.93	443

Table 5.

*Results of ROI Analyses in Study Three. ROIs were developed using functional activations in Study One that fell within the anatomically defined posterior superior temporal sulcus, temporal pole, and dorsomedial prefrontal cortex. T-statistics were computed by averaging over all voxels in the ROI using Marsbar.*

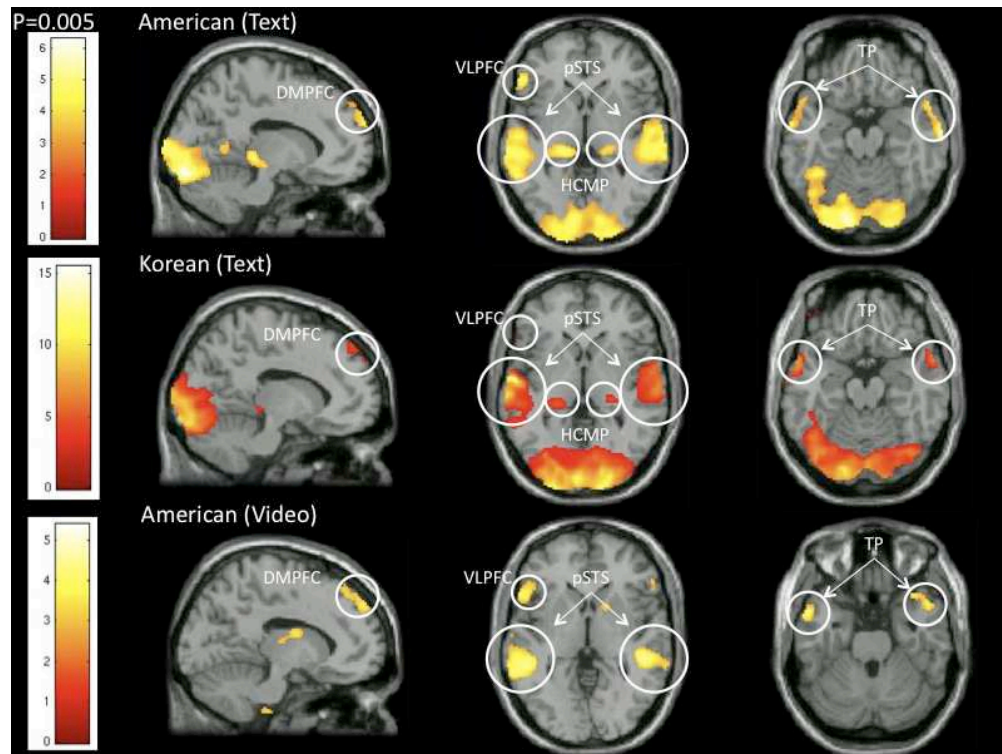
Region of Interest	t-stat	p value
Right pSTS	1.65	.056
Left pSTS	0.47	.320
Right Temporal Pole	2.66	.007
Left Temporal Pole	2.27	.016
DMPFC (anterior)	2.51	.009
DMPFC (posterior)	3.35	.001

## Figure Captions

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3  
4 Figure 1. Neural regions that were more active during persuasive than non-persuasive  
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6 passages in Study One (Americans, text based messages), Study Two (Koreans, text based  
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8 messages), and Study Three (Americans, video based messages). For display purposes, all  
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10 activity in this figure uses a threshold of  $p = .005$ , uncorrected. Note: Korean activations  
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12 were statistically equivalent in many of the displayed regions but appear weaker because the  
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14 color scales are different (see scales on left). Also, only a small portion of the actual VLPFC  
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16 cluster appears in axial slice selected for the Korean sample. As shown in the Table 2a, the  
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18 spatial extent of these activations is comparable. DMPFC=dorsomedial prefrontal cortex;  
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20 pSTS = posterior superior temporal sulcus; TP = temporal pole; HCMP = hippocampus;  
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22 VLPFC = ventrolateral prefrontal cortex.  
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30 Figure 2. Neural regions that were more active in American participants than Korean  
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32 participants for persuasive, compared to unpersuasive arguments. For display purposes, all  
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34 activity in this figure uses a threshold of  $p = .005$ , uncorrected. pSTS = posterior superior  
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36 temporal sulcus; Post. Cingulate = Posterior Cingulate.  
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41 Figure 3. Mean ROI contrast values for persuasive and unpersuasive videos compared to  
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43 baseline, corresponding to ROI's reported in table 5. Note: error bars are calculated on the  
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45 difference scores across subjects as these are the errors relevant to each region specific  
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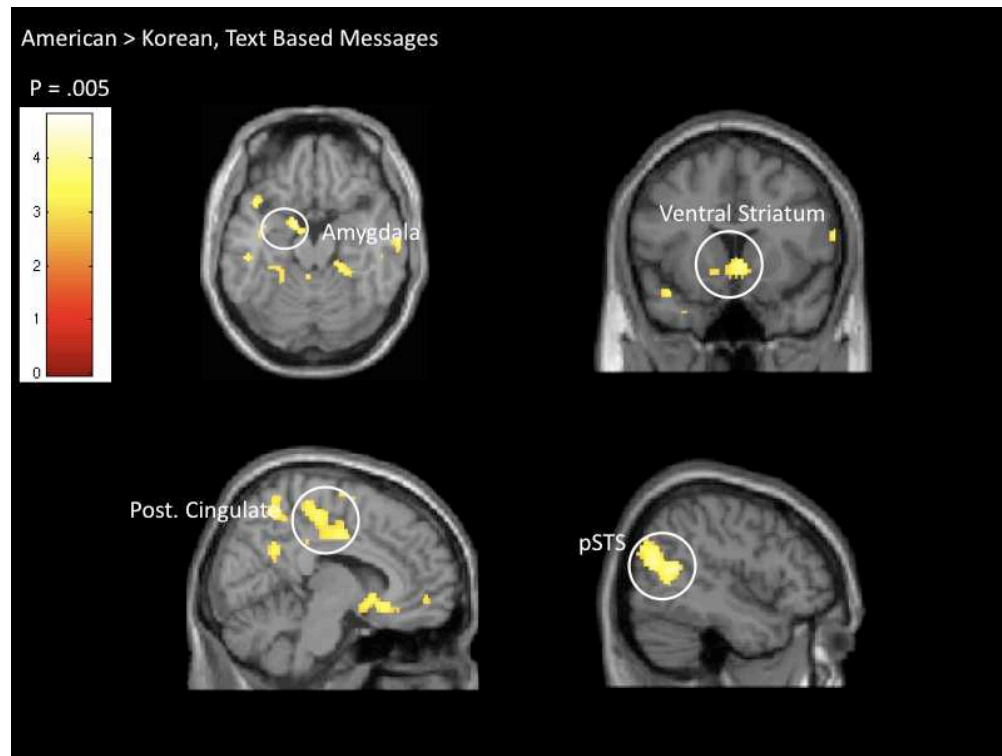


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Figure 1. Neural regions that were more active during persuasive than non-persuasive passages in Study One (Americans, text based messages), Study Two (Koreans, text based messages), and Study Three (Americans, video based messages). For display purposes, all activity in this figure uses a threshold of  $p = .005$ , uncorrected. Note: Korean activations were statistically equivalent in many of the displayed regions but appear weaker because the color scales are different (see scales on left). Also, only a small portion of the actual VLPFC cluster appears in axial slice selected for the Korean sample. As shown in the Table 1, the spatial extent of these activations is comparable. DMPFC=dorsomedial prefrontal cortex; pSTS = posterior superior temporal sulcus; TP = temporal pole; HCMP = hippocampus; VLPFC = ventrolateral prefrontal cortex.

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Figure 2. Neural regions that were more active in American participants than Korean participants for persuasive, compared to unpersuasive arguments. For display purposes, all activity in this figure uses a threshold of  $p = .005$ , uncorrected. pSTS = posterior superior temporal sulcus; Post. Cingulate = Posterior Cingulate.  
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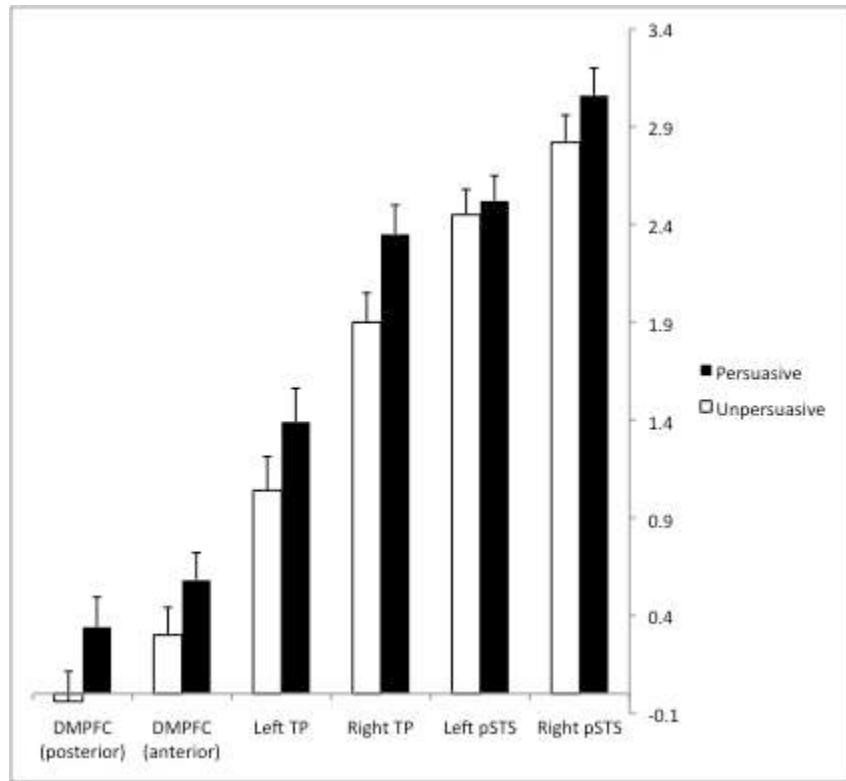


Figure 3. Mean ROI contrast values for persuasive and unpersuasive videos compared to baseline, corresponding to ROI's reported in table 5. Note: error bars are calculated on the difference scores across subjects as these are the errors relevant to each region specific comparison.  
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